

**Submission: Code Committee review of the Code of Professional Conduct for Authorised Financial Advisers Consultation Paper**

Submission emailed to [consultation@financialadvisercode.govt.nz](mailto:consultation@financialadvisercode.govt.nz),

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Total pages:

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For each question please note your comments and suggestion for improvement (if any). If you agree with a proposal and have no further comments, please just note 'agree' in the submission column.

Question #	Submission	Suggestion for improvement (if any)
1.	Yes	
2.	Yes	
3.	Yes	
4.	Yes	
5.	Yes	
6.	Yes	
7.	Yes	
8.	Yes	
9.	Yes	
10.	Yes, for now	

**Changes not covered in the consultation paper**

If you would like to propose changes to any aspect of the Code not covered in the consultation paper, please record your submission in the following table.

Code Standard number or Code section	Proposed change	Rationale for proposed change
N/A		