

Clarification Note relating to the Consultation Paper on Competence Knowledge and Skills for Authorised Financial Advisers

The Code Committee would like to clarify two points in relation to the above paper released on 23 October 2009:

1. In Part 3 page 6, the references to “NZX Member” should be “NZX Adviser”.
2. To attain Standard Set D of the proposed National Certificate (Part 4 page 8) the candidate must attain:

EITHER

Unit 25648 and Unit 25649

OR

Unit 25644

Plus one of the following:

Unit 25645 or Unit 25646 or Unit 25647.

The descriptions of these unit standards are the same as set out in Part 4 of the consultation paper.

Dated: 28.10.09